

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4059637
<b>Application Number:</b>	09680365
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	8236
<b>Title of Invention:</b>	SYSTEMS FOR SWITCH AUCTIONS UTILIZING RISK POSITION PORTFOLIOS OF A PLURALITY OF TRADERS
<b>First Named Inventor/Applicant Name:</b>	R. Raymond May
<b>Customer Number:</b>	00826
<b>Filer:</b>	Tyler Spence/Sarah Simmons
<b>Filer Authorized By:</b>	Tyler Spence
<b>Attorney Docket Number:</b>	38866/204611
<b>Receipt Date:</b>	03-OCT-2008
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<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment		no			
File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Filed (5B/08)	204611SIDS.PDF	232063	no	4
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Warnings:					
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2	Foreign Reference	EP0647866.pdf	359677 697070805443ab6141dc2a0051e6a5a7b883d	no	6
Warnings:					
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3	Foreign Reference	EP1049037.pdf	1389931 5cd98b23ac06b0b6987211e118bdcfca25c4db	no	18
Warnings:					
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4	Foreign Reference	EP1072990.pdf	1751978 b9c3e11139999b89c2a6e71c2d8c712c53b14	no	36
Warnings:					
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5	Foreign Reference	WO9843121.pdf	922120 6b1fd2c2d06c33d3e129c23f3f14d1d2ac7c3	no	26
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6	Foreign Reference	WO0152091.pdf	3994142 b1b648364209480276f1516b038488100b6a6d5	no	56
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7	Foreign Reference	CH675982.pdf	476384 b3a98c49c275773747d57c5e3d3a69a6bc144	no	6
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8	Foreign Reference	WO9919821.pdf	6157785 52fbb0178d4f7390f58ed05409d4450ef133e8ba2	no	135
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9	NPL Documents	CreditDerivativesGetCracking.PDF	894560 c269189300b6273a7003c4aa876c6201d9e8d5e	no	12
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10	NPL Documents	HIGGINGManagingRiskGlobalPortfolio.PDF	582858 84ee1d8779b163a7b2d3d1d3748ef75ddcd1bb	no	8

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11	NPL Documents	AlGbreaksDownExposure.PDF	74818 7a4b94b70e6c52b8ac9f1e57040694af2ce 7131	no	2
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12	NPL Documents	HOTCHINriskBasedWayToAsset Valuation.PDF	332909 0025c642ee0865c48378e2a7bdc02aee47 7a6d7	no	5
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13	NPL Documents	InsideDerivatives.PDF	107890 c75c3818a6b7b7b787b8f5855b6dca1542 6e59f	no	2
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14	NPL Documents	LOOMISriskWontGoAway.PDF	35229 892579f1c398023688633c174299f73656 ee65	no	1
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15	NPL Documents	WallStreetRiskyBets.PDF	278463 e87ab4f1c9c05a27445889877a9f54060a7 b86f	no	4
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17	NPL Documents	OpportunityKnocks.pdf	111528 0e3d8aaf06b8f6118707e2ab8f8bc239c7b43 17dc	no	4
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18	NPL Documents	marketcentercom.pdf	390596 c03c9784f8f8f1a7784d19798dbccca9f0 bcb	no	6
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19	NPL Documents	PARITYtrademarkHistory.PDF	1078021 9433211f513f55557dd4b432c0ef07d6c aa2	no	31

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20	NPL Documents	BasleCommitteeArticle.pdf	181246 <small>99e1b49b23f1c3f729be5b11c2580d46284c88</small>	no	39
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.